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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/854,919	05/15/2001	Karl-Heinz Baumann	225/49907	7559

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EXAMINER

DUONG, THO V

ART UNIT PAPER NUMBER

3743

DATE MAILED: 11/30/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/854,919

Applicant(s)

BAUMANN ET AL.

Examiner

Tho v Duong

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 18 August 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

### DETAILED ACTION

Receipt of applicant's amendment filed 8/18/2004 is acknowledged. Claims 1-19 are acknowledged.

#### *Response to Arguments*

Applicant's arguments with respect to claims 1-19 have been considered but are moot in view of the new ground(s) of rejection.

#### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1,4-7 and 13-19 are rejected under 35 U.S.C. 102(b) as being anticipated by H. Z. Cutler (US 1,593,242). Cutler discloses (figures 1-4) a heat exchanger arrangement on a front carrying structure of a motor vehicle, the front carrying structure (1) having a passage orifice (12) of a cooling air stream, which extends in a vehicle transverse plane and is delimited on two mutually opposite sides by wall regions (wall of casing 1) of the carrying structure; the heat exchanger arrangement comprising a heat exchange module (radiator, which is a cooling water circuit of an engine) which largely overlaps the passage orifice (12) and which is mounted on the

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front carrying structure in such a way that, in the event of a head on collision subjecting a region of the passage orifice to stress, the radiator while absorbing impact energy, is capable of cooperating reinforcingly with the wall regions of the carrying structure because the radiator is secured on the front wall with peripheral flange (40) partially cover the wall region; an entire longitudinal extent of an end regions (19) of the radiator are received and fasten in sliding guides (36) define by the carrying structure; and the end regions (19) project beyond the passage orifice into the sliding guide section (36); the radiator is capable to be pushed with the end regions (19) in a manner of a drawer into the guides (36) and secured in a pushed-in position via fixing elements (38). Regarding claim 4, the front carrying structure comprising a large size front wall (wall of casing 1), and the passage orifice is formed in a middle of the casing wall. Regarding claim 5, the method of forming the device "extruded" is not germane to the issue of patentability of the device itself. "Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process." In re Thorpe, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985). In this case, the casing wall (1) has a one-piece structure, which is structurally the same with the claimed front wall because the extruded profile is a one-piece structure profile.

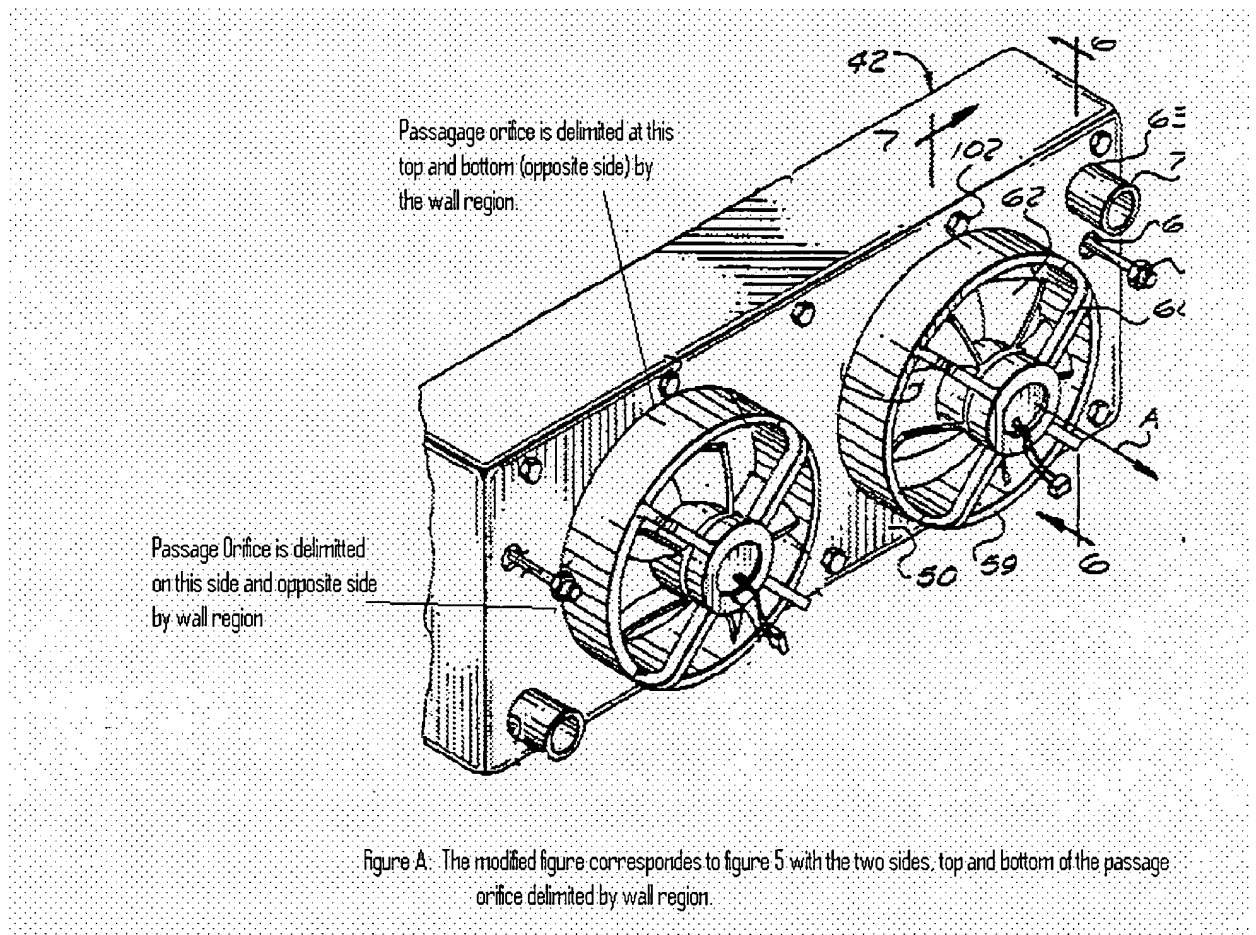
Claims 1-19 are rejected under 35 U.S.C. 102(b) as being anticipated by Iwasaki et al. (US 5,476,138). Iwasaki discloses (figures 1-7 and A as bellow) a heat exchanger arrangement on a front carrying structure of a motor vehicle, the front carrying structure having a passage

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orifice (55) of a cooling air stream, which extends in a vehicle transverse plane and is delimited on two mutually opposite sides, top and bottom by wall regions (50,59) of the carrying structure, the heat exchanger arrangement comprising a radiator (43) which largely overlaps the passage orifice (50) and which is mounted on the front carrying structure in such a way that, in the event of a head on collision subjecting a region of the passage orifice to stress, the radiator while absorbing impact energy, and cooperates reinforcingly with the wall regions of the carrying structure, wherein an entire longitudinal extent of an end regions (82) of the radiator are received and fasten in sliding guides (52) define by the carrying structure and produced in one part with a front wall (50); the radiator is arranged in front of the passage orifice (55) and the upper and lower end regions (82) project beyond the passage orifice; the radiator (43) is capable to be pushed with the end regions (82) in a manner of a drawer into the guides (52) and secured in a pushed-in position via fixing elements (102). Regarding claim 4, the front carrying structure comprising a large size front wall (50,59), and the passage orifice (55) is formed in a middle of the front wall. Regarding claim 5, the method of forming the device “extruded” is not germane to the issue of patentability of the device itself. “Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process.” In re Thorpe, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985). In this case, the front wall (50) has a one-piece structure, which is structurally the same with the claimed front wall because the extruded profile is a one-piece structure profile. As regarding claims 9-11, a further heat

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exchanger module (44,45) is arranged in front of the heat exchanger (43) and mounted on the front wall. As regarding claim 12, the end regions (82) and the guides (52) form tongue and groove arrangement, in which the end regions (82) is received within a big groove formed by interior space of guides (52).



Claims 1-8 and 12-19 are rejected under 35 U.S.C. 102(e) as being anticipated by Guyomard (US 6,571,898). Guyomard discloses (figures 1-6) a heat exchanger arrangement on a front carrying structure of a motor vehicle, the front carrying structure having a passage orifice (52) of a cooling air stream, which extends in a vehicle transverse plane and is delimited on two

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mutually opposite sides by wall regions of the carrying structure, the heat exchanger arrangement comprising a radiator (10) which largely overlaps the passage orifice (12) and which is mounted on the front carrying structure in such a way that, in the event of a head on collision subjecting a region of the passage orifice to stress, the radiator while absorbing impact energy, and cooperates reinforcingly with the wall regions of the carrying structure, wherein an entire longitudinal extent of an end regions (28) of the radiator are received and fasten in sliding guides (30) define by the carrying structure and produced in one part with a front wall; the radiator is arranged in front of the passage orifice (52) and the upper and lower end regions (28) project beyond the passage orifice into the sliding guide section (36); the radiator is capable to be pushed with the end regions (19) in a manner of a drawer into the guides (36) and secured in a pushed-in position via fixing elements (62,64). Regarding claim 4, the front carrying structure comprising a large size front wall (24), and the passage orifice is formed in a middle of the front wall. Regarding claim 5, the method of forming the device "extruded" is not germane to the issue of patentability of the device itself. "Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process." *In re Thorpe*, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985). In this case, the front wall (24) has a one-piece structure, which is structurally the same with the claimed front wall because the extruded profile is a one-piece structure profile. As regarding claim 12, the end regions (28) and the guides (30) form tongue and groove arrangement.

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Applicant cannot rely upon the foreign priority papers to overcome this rejection because a translation of said papers has not been made of record in accordance with 37 CFR 1.55. See MPEP § 201.15.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 9-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over H. Z. Cutler in view of Ikeda et al. (US 5,271,473). Cutler substantially discloses all of applicant's claimed invention as discussed above except for the limitation that a further heat exchange module is arranged in a region of overlap with the heat exchange module in front of the front wall. As regarding the limitations of "the heat exchange module is arranged in front of the passage orifice" and "a further heat exchange module", it is well known in the automobile art that an assembly of a radiator and condenser is positioned in front of the engine compartment. Attention is now directed to Ikeda. Ikeda discloses (figure 2 and column 1, lines 12-52 and column 3, line 60-column 4, line 2) a heat exchanger module assembly that has an assembly of a radiator (17), which is to cool engine coolant, and a condenser (18), which is mounted on and in front of the front wall (15), for the purpose of providing an air conditioning system for the vehicle. It would have been obvious to one having ordinary skill in the art at the time the invention was made to

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use Ikeda's teaching in Cutler's heat exchanger assembly arranged on the front carrying structure of a motor vehicle for providing an air conditioning system for the vehicle.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Styok (US 4,403,648) discloses an engine radiator support and guard assembly.

Charles (US 4,979,584) discloses a heat exchanger module comprising a radiator and a condenser.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a).

Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

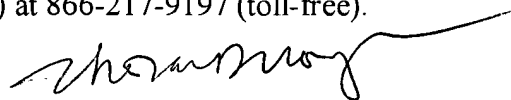
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tho v Duong whose telephone number is 571-272-4793. The examiner can normally be reached on M-F (first friday off).

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Henry Bennet can be reached on 571-272-4791. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Tho v Duong  
Examiner  
Art Unit 3743



TD  
November 23, 2004